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Photochemistry of Carbonyl Compounds through the Enol Form. Involvement of a Cyclopropanone Intermediate in the Photorearrangement of 4-Carbomethoxy-3-chromanone¹

Sir:

Although the photochemical behavior of the carbonyl group has received much scrutiny in the past decade,^{2,3} relatively little is known about the photochemistry of the small amount of enol tautomer which exists in equilibrium with the keto form.⁴ In an earlier report⁵ dealing with the photorearrangement of 4-phenyl-3-chromanone (1) to 4-phenyldihydrocoumarin (2),⁶ evidence was presented which demonstrated that the enol content can be an overriding factor in determining the quantum efficiency of a photoreaction. As part of our continuing studies dealing with enol photochemistry,^{7,8} we have undertaken an investigation of the excited state behavior of the 4-carbomethoxy-3-chromanone (3) system. We now report that in extending our studies to this system, we have discovered an unusual solvent effect which controls the product distribution. In addition, we have uncovered an unprecedented photodecarbonvlation reaction which can best be explained by invoking the intermediacy of a transient cyclopropanone.

Using procedures similar to those descried for the prepa-

Scheme I

ration of other chromanones,9 4-carbomethoxy-3-chromanone (3), mp 35-36°, was prepared in high yield. The nmr spectrum of 3 indicates that this β -ketoester exists predominantly (>98%) in the enol form (τ 5.98 (s. 3 H), 5.24 (s. 2 H), 2.0-3.1 (m, 4 H), and -3.0 (s, 1 H, exchanged with D_2O)). When a thoroughly deaerated methanolic solution of 3 was treated with sodium methoxide and then irradiated with light of wavelength >300 nm,¹⁰ an extremely rapid and clean conversion to methyl 3-(o-hydroxyphenyl)-3-carbomethoxypropanoate (4), mp 101-102°, was observed. The identity of 4 was determined by its straightforward spectral characteristics (nmr (100 MHz), τ 7.26 (dd, 1 H, J = 16.0 and 7.0 Hz), 6.68 (dd, 1 H, J = 16 and 9.0 Hz), 6.32 (s, 3 H), 6.28 (s, 3 H), 5.70 (dd, 1 H, J = 9.0 and 7.0Hz), 2.6-3.3 (m, 4 H)) as well as its facile conversion to 4carbomethoxydihyrocoumarin (5), mp 79-80°, on heating in the presence of a trace of acid. The structure of dihvdrocoumarin 5 (nmr (100 MHz), τ 7.18 (dd, 1 H, J = 17.0 and 6.0 Hz), 6.84 (dd, 1 H, J = 17.0 and 3.0 Hz), 6.28 (s, 3 H), 6.05 (dd, 1 H, J = 6.0 and 3.0 Hz), 3.0-3.6 (m, 4 H)) was established by comparison with an independently synthesized sample.

Irradiation of 3 in acetonitrile at 25° for 14 hr under a nitrogen atmosphere with Pyrex-filtered light gave a 3:1 mixture of 4-carbomethoxydihydrocoumarin (5) and ohydroxy- α -carbomethoxystyrene (6) (Scheme I). The two components could be easily separated by thick-layer chromatography. Elemental analysis, the ultraviolet spectrum (methanol, 295, 263, 252, and 243 nm (ϵ 4700, 4700, 6300, and 6500)), and the nmr spectrum (100 MHz, τ 6.12 (s, 3 H) 4.05 (d, 1 H, J = 1.2 Hz), 3.55 (d, 1 H, J = 1.2 Hz), 2.70-3.20 (m, 4 H), and 2.50 (s, 1 H, exchanged with D_2O)) suggested *o*-hydroxy- α -carbomethoxystyrene (6), mp 76-77°, as the structure of the minor photoproduct. Chemical confirmation was obtained by (a) catalytic reduction to methyl 2-(o-hydroxyphenyl)propanoate (7) and (b) conversion to o-methoxy- α -carbomethoxystyrene (8), which was, in turn, independently synthesized. It is interesting to note that when the irradiation of 3 was carried out



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in benzene, the only product detected was o-hydroxy- α -carbomethoxystyrene (6).¹¹

The formation of these products (see Scheme II) is readily explicable in terms of a photoinduced ring opening of chromanone 3 to an o-quinoneallide intermediate (*i.e.*, 9). Closure of 9 by either photochemical or thermal means would give 2-carbomethoxy-2-(o-hydroxyphenyl)cyclopropanone (10) as a transient intermediate. This species would exist in tautomeric equilibrium with 1-hydroxy-5-carbomethoxy-2-oxabenzobicyclo[3.1.0]hex-3-ene (11). In polar solvents such as methanol or acetonitrile, the oxabicyclohexene (11) is rapidly opened to give the observed dihydrocoumarin.^{12,13} Opening of the cyclopropanol ring would be expected to proceed at a much slower rate in benzene, however, since the developing charges are not significantly solvated in this medium. Consequently, the tautomeric mixture of 10 and 11 can exist for a sufficient period of time in benzene¹⁴ to allow the system to absorb another photon of light and thereby extrude carbon monoxide.15

Supporting evidence for the above scheme was obtained by carrying out the irradiation of 3 in benzene which contains an excess of furan. Turro and coworkers had previously demonstrated that cyclpropanones undergo facile (3 + 4) \rightarrow 7)cycloadditions with furan.^{16,17} Under these conditions, the only product obtained from the irradiation of 32-(o-hydroxyphenyl)-3-oxo-8-oxabicywas methyl clo[3.2.1]oct-6-ene-2-carboxylate (12), 66-69° mp

Scheme III



(Scheme II). The nmr spectrum of 12 was very similar to related cycloadducts¹⁷ and showed signals at τ 7.60 (2 H, d), 6.08, (s, 3 H), 5.02 (m, 1 H), 4.85 (d, 1 H, J 2.0 Hz), 4.65 (dd, 1 H, J = 6.0 and 2.0 Hz), 3.82 (dd, 1 H, J = 6.0and 2.0 Hz), 2.6-3.4 (m, 4 H). Addition of incremental amounts of $Eu(fod)_3^{18}$ to solutions of adduct 12 caused a downfield shift of the signal at τ 7.60 and its conversion into an AB quartet ($J_{AB} = 14.5$ Hz, low field half split J = 4.5Hz, high field half split J = 1.2 Hz). Cycloadduct 12 was also characterized by catalytic hydrogenation to oxabicyclo[3.2.1]octane 13, mp 113-114°. The isolation of cycloadduct 12 and the complete absence of 5 and 6 provides compelling evidence for the intermediacy of a cyclopropanone in the photolysis of 3.

Additional support for the mechanism outlined in Scheme II was obtained by studying the photochemistry of the related 3-acetoxy-4-carbomethoxychromene (14).¹⁹ Irradiation of 14 in methanol under a nitrogen atmosphere with Pyrex-filtered light gave a single compound whose structure was identified as 2-methylene-3-acetoxy-3-carbomethoxydihydrobenzofuran (15); nmr τ 8.04 (s, 3-H), 6.04 (s, 3 H), 5.68 (d, 1 H, J = 3.0 Hz), 5.46 (d, 1 H, J = 3.0Hz), and 2.5-3.2 (m, 4 H). Chemical confirmation was obtained by ozonization to 3-acetoxy-3-carbomethoxybenzofuranone (16) and by catalytic reduction to 2-methyl-3-acetoxy-3-carbomethoxydihydrobenzofuran (17)²⁰ (Scheme III). The formation of benzofuran 15 from the photolysis of enol acetate 14 is another unique process and can also be explained in terms of an oxabenzobicyclo[3.1.0]hexene intermediate (i.e., 18). In this case, the oxabicyclohexene does not open to a dihydrocoumarin but instead undergoes further reaction via a tight ion pair to give the observed product (*i.e.*, **15**). Further work on the quantitative aspects of these systems and on additional photoreactions of carbonyl compounds which proceed through the enol form is in progress and will be reported at a later date.

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- (20) Complete spectroscopic details of all new compounds will be given in our full manuscript.

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A Reactional Cascade. Addition of Tetracyanoethylene to Dicyclopropylfulvene¹

Sir:

Fulvenes² confront the prospective 2π addend with a multifarious choice: [2 + 2] cycloaddition on the exocyclic 1,6 double bond or [2 + 2] with one of the endocyclic double bonds, [2 + 4] with the whole cyclopentadiene moiety or yet [2 + 6] encompassing atoms 2 and 6 at the termini of the (formally) π system.³ With dicyclopropylfulvene⁴ (1), the difficulty of predicting theoretically the outcome of an addition is compounded by the near-degeneracy of the a2 (A) and b_1 (S) which are the two highest occupied molecular orbitals.³ We have discovered that **1** adds to tetracyanoethylene with consecutive formation of [2 + 4], [2 + 2], and [2 + 6]cycloadducts (Scheme I). They interconvert through zwitterionic intermediates, the evidence for which will now be delineated.

Reaction of 1 (10 mmol) with TCNE (10 mmol) in ether solution, at 0°, gave the expected² [4 + 2] Diels-Alder cycloadduct⁵ in nearly quantitative yield. As gauged by the cmr shifts,⁵ the exocyclic double bond is electron-depleted both by the homoconjugative interaction with the endocyclic 5,6 double bond and by attachment of the cyclopropane rings at C-8; its polarization is weak compared to other 7methylene norbornenes.⁶ The activation energy for this first step is not high. This cycloaddition is either concerted, with the predominant interaction connecting the LUMO of TCNE with the a₂ MO of 1, both antisymmetric, or proceeding via an intermediate; the experimental evidence currently available does not allow us to settle this point.

At slightly higher temperatures, 2 decays by clean firstorder kinetics into the [2 + 2] adduct 3.7 The rate constant determined by nmr for the transformation of 2 into 3 displays pronounced solvent dependence (Figure 1), with an activation energy $\Delta G_{273}^* = 20.7 \text{ kcal mol}^{-1}$ in pure aceScheme I



tone. The extensive evidence by Huisgen, et al., 9.10 has provided elegant proof for the intermediacy of a zwitterion in the [2 + 2] cycloadditions between TCNE and enol ethers. The analogous zwitterion formed by heterolytic cleavage of the $1,\overline{2}$ bond in **2** is strongly resonance stabilized. A plot of ln k_1 against the dielectric function (ϵ – $1)/(2\epsilon + 1)^9$ is linear (Figure 1; $\rho > 0.98$), and indicates an increase in the dipole moment by ca. 12 D in going from 2 to the more polar transition state. Observation of a strong dependence of the reaction rate upon the solvent polarity also serves to rule out a 1,3 signatropy for the $2 \rightarrow 3$ process.11

That is not the end of the story! The [2 + 2] cyclobutane derivative 3 has considerable strain, as implied, e.g., by the



Figure 1. Plots of $\ln k_{obsd}$ for decomposition of 2 at 0° (bottom) and of 3 at 50° (top) against the dielectric function $(\epsilon - 1)/(2\epsilon + 1)$. Numbers refer to the solvent systems used (v/v): 1, diethyl ether 60:acetone 40; 2, diethyl ether 40:acetone 60; 3, diethyl ether 20:acetone 80; 4, acetone; 5, acetone 80:acetonitrile 20; 6, acetone 60:acetonitrile 40; 7, acetone 40:acetonitrile 60. The significantly greater slope for the top solid line implies a larger dipole moment for the second zwitterionic intermediate (see text). The dashed segments have lengths approximately proportional to the diethyl ether concentration.